

ASIC registered agent number

5132 1/2 13 September 2002

lodging party or agent name

office, level, building name or PO Box no.

street number & name

suburb/city

state/territory

postcode

telephone ()

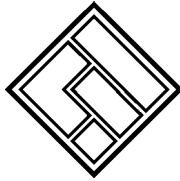
facsimile ()

DX number

suburb/city

Large empty rectangular box for stamp or signature.

ASS. REQ-A
CASH. REQ-P
PROC.



Australian Securities & Investments Commission

Application for consent from ASIC for
resignation or removal of scheme auditor

form **5132**

Corporations Act 2001
331AC(1) & (2)

Refer to Australian Securities and Investments Commission (ASIC) Policy Statement 136 before completing this form.

registered scheme name

ARSN

name of responsible entity

ACN

office, level, building name or PO Box no.

street number and name

suburb/city

state/territory

postcode

name of auditor

firm (if applicable)

office, level, building name or PO Box no.

street number and name

suburb/city

state/territory

postcode

Application for consent

This is an application for consent for:

removal of scheme auditor by responsible entity

or

resignation of scheme auditor

Statement by auditor

(resignations only)

I apply for ASIC's consent to resign as auditor of the above-named scheme and state the following:

- I have notified the responsible entity in writing of this application.
- All section 310 and section 311 matters have been reported to ASIC at the date of this application and any further section 310 and section 311 matters which come to my attention before resignation will be reported.
- Other than stated in the annexure to this form, there are no disputes with the responsible entity connected with my ceasing to hold office.
- Other than stated in the annexure to this form, in my opinion there are no circumstances connected with my ceasing to hold office which should be brought to ASIC's attention.
- The resignation is to take effect either; on the day (if any) specified for the purpose in the notice of resignation ; or on the day on which ASIC gives its consent to the resignation; or on the day (if any) fixed by ASIC for the purpose; whichever occurs last.

The reasons for the application for removal/resignation of the auditor are:

Multiple horizontal lines for providing reasons for removal/resignation.

Status of the audit (ie. partially completed; not yet started):

Horizontal lines for providing status of the audit.

I enclose an annexure of " " pages. (State "nil" if there is no annexure).

You will need to provide the following additional information in an annexure to this form:

- confirmation from the proposed replacement auditor stating that he or she is prepared to accept the appointment subject to ASIC's approval of the resignation/removal;
- a signed copy of a resolution made by the directors of the responsible entity appointing a replacement auditor to the scheme, subject to ASIC's approval of the current auditor's resignation/removal.

Signature

Resignation - auditor to sign
 Removal - director or secretary of responsible entity to sign

print name

sign here

date / /

Small Business (less than 20 employees), please provide an estimate of the time taken to complete this form

Include

- The time actually spent reading the instructions, working on the question and obtaining the information
- The time spent by all employees in collecting and providing this information

hrs mins

GUIDE

This guide does not form part of the form and is included by ASIC to assist you in completing and lodging form 5132.

Signature	Resignation – auditor to sign Removal- director or secretary of responsible entity to sign
Lodging period	Nil
Lodging Fee	An application fee of \$33 is payable, which should be lodged at the same time as the form.
Timing	<p>ASIC's consent to an auditor's resignation cannot be back-dated. The resignation can only take effect on the day that ASIC grants its consent, or on a later date set by ASIC or the auditor in the notice of resignation.</p> <p>Do not proceed on the assumption that ASIC's consent will automatically be granted.</p> <p>If ASIC does not grant its consent of the resignation, the applicant will continue to hold office as the auditor of the scheme.</p>
Procedure	<p>Send the form, the application fee and any annexures required to:</p> <p style="padding-left: 40px;">Australian Securities & Investments Commission GPO Box 4000 Gippsland Mail Centre Vic 3841</p> <p>ASIC will advise the applicant and the responsible entity that the application has been granted.</p> <p>Within 14 days after:</p> <p style="padding-left: 40px;">(a) the removal from office of an auditor of a registered scheme; or, (b) the receipt of a notice of resignation from an auditor of a registered scheme;</p> <p>the responsible entity is required by section 331AC(7) to lodge a Form 5133 - Notification of resignation, removal or cessation of a registered scheme auditor.</p>

Annexures

- To make any annexure conform to the regulations, you must
- 1 use A4 size paper of white or light pastel colour with a margin of at least 10mm on all sides
 - 2 show the corporation name and A.C.N. or A.R.B.N.
 - 3 number the pages consecutively
 - 4 print or type in BLOCK letters in dark blue or black ink so that the document is clearly legible when photocopied
 - 5 identify the annexure with a mark such as A, B, C, etc
 - 6 endorse the annexure with the words:
This is annexure (mark) of (number) pages referred to in form (form number and title)
 - 7 sign and date the annexure
The annexure must be signed by the same person(s) who signed the form.