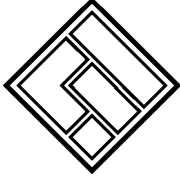


ASIC registered agent number _____
lodging party or agent name _____
 office, level, building name or PO Box no _____
 street number & name _____
 suburb/city _____ state/territory _____ postcode _____
 telephone () _____
 facsimile () _____
 DX number _____ state/territory _____

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ASS.	<input type="checkbox"/>	REQ-A	<input type="checkbox"/>
CASH.	<input type="checkbox"/>	REQ-P	<input type="checkbox"/>
PROC.	<input type="checkbox"/>		



Australian Securities & Investments Commission

form **5140**

Notification of
**proposed change of name of
 registered scheme**

Corporations Regulations 2001
5C.1.02

current registered scheme name

ARSN _____

responsible entity name

ACN _____

Details of name change

proposed new name of scheme _____

Signature

This form must be signed by a director or secretary of the responsible entity.

I certify that the information above is true and correct.

print name _____

capacity _____

sign here _____

date / /

Small Business (less than 20 employees), please provide an estimate of the time taken to complete this form

Include

- The time actually spent reading the instructions, working on the question and obtaining the information
- The time spent by all employees in collecting and providing this information

hrs mins

GUIDE **This guide does not form part of the prescribed form and is included by ASIC to assist you in completing and lodging form 5140.**

Signature This form must be signed by a director or secretary of the responsible entity.

Lodging period Nil

Lodging Fee \$33

A receipt will not be issued unless requested.

Other forms to be completed Nil

Additional information

To change the name of a registered scheme, the responsible entity of the scheme must lodge this form stating the proposed new name of the scheme. The proposed name must not be the same as the name of:

- a) a registered scheme; or
- b) a managed investment scheme for which an application for registration has been made under s601EA of the Corporations Act 2001 but not yet determined.

Send to

Australian Securities & Investments Commission
GPO Box 9827
in your capital city

or

ASIC Information Processing Centre
PO Box 4000
Gippsland Mail Centre VIC 3841

Annexures

To make any annexure conform to the regulations, you must

- 1 use A4 size paper of white or light pastel colour with a margin of at least 10mm on all sides
- 2 show the registered scheme name and ARSN and responsible entity name and ACN
- 3 number the pages consecutively
- 4 print or type in BLOCK letters in dark blue or black ink so that the document is clearly legible when photocopied
- 5 identify the annexure with a mark such as A, B, C, etc
- 6 endorse the annexure with the words:
This is annexure (mark) of (number) pages referred to in form (form number and title)
- 7 sign and date the annexure
The annexure must be signed by the same person(s) who signed the form.