



FORM NO	FORM TITLE	LAST UPDATE	GUIDE DATE
1001 (ASCOT 7051)	Notification of half yearly reports	17/01/2011	01/07/2011
1003 (ASCOT 7053)	Disclosure notice for unlisted disclosing entity	15/07/2001	22/08/2002
104	Record of lodgement of documents	02/02/2004	
105	Cover page for office copy of a court order	04/08/2009	01/07/2011
106	Request to withdraw a lodged document	02/04/2012	02/04/2012
107	Notification of cancellation of company (immediate cancellation)	01/07/2010	
110	Record of lodgement of documents - Local ASIC Representative	22/08/2002	
128	Commission's power to direct a registered name to be changed	01/07/2010	
130	Benefit fund rules	17/09/2009	
131	Notice of meeting (demutualisation)	17/01/2011	
132	Notice of meeting or consent process (demutualisation)	17/01/2011	
142	Friendly society disclosure document	17/01/2011	
145	Application for registration of disclosure statement (demutualisation)	17/01/2011	17/01/2011
157	Application for change of name of a company under external administration	30/01/2012	01/07/2011
1500	Annual report to creditors	17/01/2011	01/07/2011
201	Application for registration as an Australian company	17/01/2011	01/07/2011
202	Application for registration of a body corporate as an Australian company	30/01/2012	30/01/2012
205	Notification of resolution	17/01/2011	01/07/2011
206	Application for change of company type	17/01/2011	
207Z	Certification of compliance with stamp duty law	15/07/2001	01/07/2011
208	Notification of details of shares issued other than for cash	17/01/2011	01/07/2011
210	Notification of statement of special rights carried by shares	17/01/2011	01/07/2011
211	Notification of division or conversion of classes of shares	17/01/2011	01/07/2011
2205	Notification of resolutions regarding shares	17/01/2011	01/07/2011
2213	Application for change of State or Territory of registration of a company	17/01/2011	01/07/2011
2501	Application for extension of time to hold Annual General Meeting	15/07/2001	01/07/2011
252	Evidence of eligibility under Part 5B.1- cover sheet	01/07/2010	
2560	Notification of reduction in share capital details	17/01/2011	17/01/2011
2601	Notification of intention to give financial assistance	17/01/2011	01/07/2011
2602	Notification of financial assistance details	17/01/2011	17/01/2011
280	Notification of share buy-back details	17/01/2011	17/01/2011
281	Notice of intention to carry out a share buy-back	17/01/2011	17/01/2011
296	Notice of disqualification from managing corporation - bankruptcy or personal insolvency agreement	17/01/2011	17/01/2011
	309 NOTIFICATION OF DETAILS OF A CHARGE REMOVED (See <a href="http://www.ppsr.gov.au">www.ppsr.gov.au</a> )		
	310 NOTIFICATION OF FURTHER ISSUE OF DEBENTURES IN A SERIES REMOVED (See <a href="http://www.ppsr.gov.au">www.ppsr.gov.au</a> )		
	311A NOTIFICATION OF ASSIGNMENT OF A CHARGE REMOVED (See <a href="http://www.ppsr.gov.au">www.ppsr.gov.au</a> )		
	311B NOTIFICATION OF CHANGE OF DETAILS OF A CHARGE REMOVED (See <a href="http://www.ppsr.gov.au">www.ppsr.gov.au</a> )		
	312 NOTIFICATION OF DISCHARGE OR RELEASE OF PROPERTY FROM A CHARGE REMOVED (See <a href="http://www.ppsr.gov.au">www.ppsr.gov.au</a> )		
313	Notification of address in Australia of information relating to financial records kept outside Australia	17/01/2011	01/07/2011
314	Notification of return of members of firm of auditors	15/07/2001	

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315	Notification of resignation, removal or cessation of auditor		15/07/2001	01/07/2010
318	Notice of application to court to manage corporation		17/01/2011	17/01/2011
3190	Notification of appointment of auditor (large pty exempt from lodging accounts under s319(4) of the old Corporations Law - as continued by s1408(1) of the Act)		17/01/2011	01/07/2011
	327 APPLICATION FOR EXTENSION OF TIME - STAMP DUTY DEFICIENCY REMOVED (See www.ppsr.gov.au)			
342	Application for consent to resign as auditor		17/01/2011	01/07/2011
	350 CERTIFICATION OF COMPLIANCE WITH STAMP DUTIES LAW REMOVED (See www.ppsr.gov.au)			
361	Notification of a registered agent ceasing to act for a company		17/01/2011	17/01/2011
362	Notification by a company to nominate or cease a registered agent or contact address		04/01/2011	04/01/2011
370	Notification by officeholder of resignation or retirement		24/10/2011	24/10/2011
377	Notification as to the holding of office in a company		17/01/2011	17/01/2011
378	Application to use an alternative address		17/01/2011	01/07/2011
379	Application for suppression of residential address		01/07/2011	01/07/2011
382	Notification of resolutions for audit relief - proprietary companies		17/01/2011	
384	Resolution by directors of a small proprietary company controlled by a foreign company which is not part of a 'large group'		17/01/2011	
388	Copy of financial statements and reports		13/05/2011	01/07/2011
389	Opt-in/change of holding entity notice by wholly-owned entity relieved from financial reporting obligations		17/01/2011	17/01/2011
390	Holding entity which does not lodge consolidated financial statements pursuant to class order 98/1418		17/01/2011	17/01/2011
394	Notice of cessation of reliance on Class Order 98/98		17/01/2011	
396	Notice of cessation of reliance on Class Order 98/1417		17/01/2011	
399	Opt-out notice by wholly-owned entity relieved from financial reporting obligations		17/01/2011	17/01/2011
401	Application for registration of a registrable Australian body		30/01/2012	30/01/2012
402	Application for registration as a foreign company		30/01/2012	30/01/2012
403	Verification of copy of document authorising on behalf of a foreign company, execution of a document appointing a local agent		17/01/2011	17/01/2011
404	Notification of change to agent of a foreign company		17/01/2011	01/07/2011
405	Statement to verify financial statements of a foreign company		17/01/2011	01/07/2011
406	Annual return of a foreign company		17/01/2011	01/07/2011
407	Notification of cessation, winding up or dissolution of a foreign company or registered Australian body		17/01/2011	01/07/2011
408	Notification in relation to the register of a registered foreign company under section 601CM		17/01/2011	01/07/2011
409	Notification of change to details of a foreign company or a registered Australian body		17/01/2011	01/07/2011
410	Application for reservation of a name		17/01/2011	01/07/2011
418	Memorandum of appointment of local agent		17/01/2011	17/01/2011
432	Application to approve registration of a company name without the word "limited"		24/10/2011	24/10/2011
433	Notification (by company) of non-compliance with section 150 requirements or licence revocation		17/01/2011	17/01/2011

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FORM NO	FORM TITLE	LAST UPDATE	GUIDE DATE
433C	Notification (by Commission) of non-compliance with section 150 requirements or licence revocation	01/07/2010	
483_Std	Company compliance statement (response to return of particulars) - Standard	17/01/2011	01/07/2011
483_Pty	Company compliance statement (response to return of particulars) - Proprietary	17/01/2011	01/07/2011
484	Change to company details	17/01/2011	02/04/2012
	• Section A	17/01/2011	
	• Section B	17/01/2011	
	• Section C	17/01/2011	
484	Change to company details (1F - Complete form with smart features)	Version 1.4	
485	Statement in relation to company solvency	17/01/2011	17/01/2011
486	Notification by a company to nominate or cease a registered agent or contact address	04/01/2011	04/01/2011
488	Application to change the review date of a company or registered scheme	17/01/2011	24/10/2011
489	Notification of change of registered office or office hours of a registered body	17/01/2011	01/07/2011
490	Notification of change to directors of a registered body	17/01/2011	01/07/2011
491	Change to scheme details	17/01/2011	01/07/2011
492	Request for correction	02/04/2012	02/04/2012
5011	Copy of minutes of meeting	17/01/2011	01/07/2011
504	Notification that a person has been appointed controller/entered into possession etc.	30/01/2012	30/01/2012
5047	Copy of deed of company arrangement	17/01/2011	17/01/2011
505	Notification of appointment or cessation of an external administrator	30/01/2012	30/01/2012
5053	Notice that administration of a company has ended	17/01/2011	17/01/2011
5056	Notice that deed wholly effectuated	17/01/2011	01/07/2011
5057A	Related party benefits – proposed notice, explanatory statement & accompanying documents	17/01/2011	01/07/2011
506	Notification of change of address of an external administrator	17/01/2011	01/07/2011
507	Report as to affairs	30/01/2012	01/07/2011
507A	Statement verifying report under s475(1) (attached to 507)	17/01/2011	
	508 REPLACED BY 524		
509	Presentation of summary of affairs of a company	17/01/2011	01/07/2011
509D	Notice of special resolution to wind up company	17/01/2011	01/07/2011
509F	Notice of failure to execute deed of company arrangement	17/01/2011	
509G	Notice of termination of deed of company arrangement	17/01/2011	17/01/2011
5100	Application for registration of a managed investment scheme	17/01/2011	01/07/2011
5101	Notification of change to managed investment scheme's constitution	17/01/2011	01/07/2011
5103	Directors' statement relating to application for registration of a managed investment scheme	17/01/2011	17/01/2011
5107	Notification of change of responsible entity of a registered scheme	22/11/2011	22/11/2011
5108	Notification of appointment of temporary responsible entity	17/01/2011	01/07/2011
5109	Notification of request by temporary responsible entity to add new responsible entity	17/01/2011	
511	Statement verifying report under s430(1), 475(2) or 446C(2)	17/01/2011	01/07/2011
5111	Compliance plan audit report of a registered scheme	17/01/2011	01/07/2011

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FORM NO	FORM TITLE		LAST UPDATE	GUIDE DATE
	<i>5127 TRANSITIONAL (available only from ASIC by request)</i>			
5130	Notification of office at which register of interests is kept		17/01/2011	01/07/2011
5131	Application for appointment of scheme auditor by member of a registered scheme		17/01/2011	01/07/2011
5132	Application for consent from ASIC for resignation or removal of scheme auditor		17/01/2011	01/07/2011
5133	Notification of resignation, removal or cessation of a registered scheme auditor		17/01/2011	01/07/2011
5137	Notification of appointment of scheme auditor		17/01/2011	01/07/2011
5138	Notification of commencement or completion of winding up of a registered scheme		17/01/2011	18/10/2011
5140	Notification of proposed change of name of registered scheme		17/01/2011	01/07/2011
519	Notification of court action relating to winding up		17/01/2011	01/07/2011
520	Declaration of solvency		15/07/2001	27/07/2004
522	Notification of meeting of creditors to consider appointing a new liquidator		17/01/2011	
523	Notification of final meeting convened by liquidator		17/01/2011	01/07/2011
524	Presentation of accounts and statement		17/01/2011	01/07/2011
5249	<i>Notice to demonstrate why disqualification should not occur</i>		15/07/2001	
525	Notice of disclaimer of onerous property		17/01/2011	17/01/2011
529	Notice of meeting		17/01/2011	01/07/2011
540	Statement in writing of posting of notices of appointment to settle list or supplementary list of contributories		17/01/2011	01/07/2011
545	Statement in writing of giving notice to persons placed on the list or supplementary list of contributories		17/01/2011	01/07/2011
555	Notice of controller extending time to submit report as to affairs		17/01/2011	17/01/2011
562	Notice of liquidator extending time to submit report as to affairs		17/01/2011	17/01/2011
564	Schedule A to Regulatory Guide 16 Preliminary report by court-appointed liquidator to ASIC under s476 of the Corporations Act 2001		17/01/2011	01/07/2011
578	Deregistration request (liquidator not acting or affairs fully wound up)		17/01/2011	17/01/2011
587	<i>Notice of disqualification from managing corporations*</i>		15/07/2001	
6010	Application for voluntary deregistration of a company		15/07/2001	01/07/2011
6010A	Application for voluntary deregistration of a managed investment scheme		17/01/2011	01/07/2011
6014	Application for transfer of registration of a company to registration under a law of a State or Territory		17/01/2011	01/07/2011
6021	Notice of compulsory acquisition following takeover bid		17/01/2011	17/01/2011
6022	Notice of right of buy out to remaining holder of securities following a takeover bid		17/01/2011	17/01/2011
6023	Notice of right of buy out to holders of convertible securities following a takeover bid		17/01/2011	17/01/2011
6024	Notice of compulsory acquisition		17/01/2011	17/01/2011
6025	Notice of right of buy out to holders of convertible securities by 100% holder		17/01/2011	17/01/2011
603	Notice of initial substantial holder		15/07/2001	13/03/2000
604	Notice of change of interests of substantial holder		15/07/2001	13/03/2000
605	Notice of ceasing to be a substantial holder		15/07/2001	13/03/2000
6071A	Application requesting notice of beneficial ownership		18/10/2011	18/10/2011
702	Application for discharge of security		17/01/2011	01/07/2011
722	Notice appointing trustee for holders of debentures		17/01/2011	01/07/2011
902	Notification of supplementary information		17/01/2011	17/01/2011
903AA	Application for registration as an auditor		17/01/2011	01/07/2011

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903AB	Application for registration as an authorised audit company		17/01/2011	01/07/2011
903B	Application for registration as a liquidator		15/07/2001	15/07/2001
905	Notification of ceasing to practise as, or change to details of, an auditor		17/01/2011	01/07/2011
905A	Notification of ceasing to act as or change to details of a liquidator		15/07/2001	01/07/2011
906	Notification of disqualification from managing corporations under part 2D.6		17/01/2011	01/07/2011
907	Presentation of triennial statement by an auditor		15/07/2001	
908	Annual statement by a liquidator		17/01/2011	01/07/2011
909	Notification of office at which register is kept		15/07/2001	01/07/2011
911	Verification or certification of a document		15/07/2001	12/11/2009
912A	Annual statement by an auditor		17/01/2011	01/07/2011
912B	Annual statement by an authorised audit company		17/01/2011	01/07/2011
960	Application for copy of a certificate		02/04/2012	
961	Application for copies of documents (DOCIMAGE search)		02/04/2012	
962	Application for information from an ASIC register		02/04/2012	
963	Application for information about a specific personal name		02/04/2012	
964	Application for information about specific corporations (computer database search)		02/04/2012	
991/992	Notification of location of books on computer		15/07/2001	
"A" form	Annexures for BC's and RO's		24/02/1999	
A100	Correction of data - roles and addresses		05/08/2003	
A101	Correction of state data - company detail		01/07/1998	
A103	Report of DOCIMAGE error		15/07/2001	
A104	Supplementary pages to imaged documents		01/07/1998	
A201	Correction of registration details		01/07/1998	
CF01	Applications for relief - fundraising/equities		17/01/2011	01/07/2011
CF02	Applications for relief - financial reporting		17/01/2011	01/07/2011
CF03	Applications for relief - mergers & acquisitions		17/01/2011	01/07/2011
CF04	Applications for relief - debentures		17/01/2011	01/07/2011
CF05	Applications for relief - transactions affecting share capital		17/01/2011	01/07/2011
CF06	Deeds of cross guarantee and related documents - Class Order 98/1418		17/01/2011	01/07/2011
CF07	Documents relating to a current disclosure document		17/01/2011	01/07/2011
CF08	Notification of offer regarding employee share schemes - Class Order 03/184		17/01/2011	17/01/2011
CF09	Documents relating to takeovers		17/01/2011	01/07/2011
CF10	Schemes of arrangement		17/01/2011	01/07/2011
CF11	Related party benefits - applications		17/01/2011	01/07/2011
	CH01 CHARGES FORMS REMOVED (See <a href="http://www.ppsr.gov.au">www.ppsr.gov.au</a> )			
CL01	Australian credit licence application		02/04/2012	18/10/2011
CL03	Vary authorisations or conditions of an Australian credit licence		09/02/2012	18/10/2011
CL04	Change of credit licence name		17/01/2011	01/07/2011
CL08	Request to change licence status		17/01/2011	01/07/2011
CL11	Application for exemption or modification		17/01/2011	01/07/2011
CL13	Notice in relation to special purpose funding entity		17/01/2011	01/07/2011
CL16	Australian Credit Licence - Notification of appointment of a trust account auditor		25/01/2011	

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FORM NO	FORM TITLE		LAST UPDATE	GUIDE DATE
CL17	Australian Credit Licence - Application for approval to replace a trust account auditor		25/01/2011	
CL18	Australian Credit Licence - Application for approval to resign as a trust account auditor		25/01/2011	
CL20	Notification of change of credit licence details		02/04/2012	01/07/2011
CL30	Appoint a credit representative		18/10/2011	01/07/2011
CL31	Cease a credit representative		18/10/2011	01/07/2011
CL32	Vary the details of a credit representative		18/10/2011	01/07/2011
CL50	Australian credit licence annual compliance certificate		14/12/2011	18/10/2011
CL70	Australian credit licence - Trust account statement		25/01/2011	
CL71	Australian credit licence - Trust account audit report		25/01/2011	
CO11	Notice of carried over instruments		02/04/2012	17/01/2011
CO12	Change of details for unlicensed carried over instrument lender and prescribed unlicensed carried over instrument lender		07/06/2011	01/07/2011
CO13	Notice of acting for a prescribed unlicensed carried over instrument lender		17/01/2011	01/07/2011
CO14	Unlicensed carried over instrument lender annual compliance certificate		01/07/2011	01/07/2011
CS03	Notifications about credit representatives		17/01/2011	22/07/2011
CS08	Request to change registration status		17/01/2011	22/07/2011
EX01	Schedule B of Regulatory Guide 16 Report to ASIC under s422, s438D or s533 of the Corporations Act 2001 or for statistical purposes		17/01/2011	17/01/2011
FS03X	Application to vary the authorisation conditions and other conditions of an Australian financial services licence		22/11/2011	22/11/2011
FS05	Preliminary application for an Australian financial services licence		08/11/2004	22/11/2011
FS06	Appointment of an auditor of an Australian financial services licensee		17/01/2011	01/07/2011
FS07	Application for consent to remove an auditor of an Australian financial services licensee		17/01/2011	24/10/2011
FS08	Application for consent to resign as an auditor of an Australian financial services licensee		17/01/2011	01/07/2011
FS09	Notification of cessation of an auditor of an Australian financial services licensee		17/01/2011	01/07/2011
FS10	Application for exemption from or modification of FSR provisions		11/11/2002	01/07/2011
FS11	Application for exemption from or modification of FSR provisions		11/11/2002	01/07/2011
FS12	Application for exemption from or modification of FSR provisions		11/11/2002	01/07/2011
FS13	Application for exemption from or modification of FSR provisions		11/11/2002	01/07/2011
FS14	Application for exemption from or modification of FSR provisions		11/11/2002	01/07/2011
FS15	Application for exemption from or modification of FSR provisions		11/11/2002	01/07/2011
FS20	Change of details for an Australian financial services licence		22/11/2011	22/11/2011
FS30	Variation to ASIC authorised representatives register - appoint an authorised representative		01/07/2007	01/07/2011
FS31	Variation to ASIC authorised representatives register - revoke an authorised representative		01/10/2006	01/07/2011
FS32	Variation to ASIC authorised representatives register - vary the details of an authorised representative FS53 replaced by FS88		23/08/2006	01/07/2011
FS70	Australian financial services licensee profit and loss statement and balance sheet		02/10/2007	01/07/2011
FS71	Australian financial services licensee audit report FS75 Replaced by Form 405		02/10/2007	01/07/2010

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FORM NO	FORM TITLE		LAST UPDATE	GUIDE DATE
FS80	Notification by an AFS licensee of a significant breach of a licensee's obligations		17/01/2011	17/01/2011
FS88	PDS in-use notice		18/10/2011	18/10/2011
FS89	Notice of change to fees and charges in a PDS		18/10/2011	18/10/2011
FS90	Notice that a product in a PDS has ceased to be available		18/10/2011	18/10/2011
<b>FS91</b>	<b>Application to register to provide financial services in emissions units</b>		<b>30/04/2012</b>	
M68	Notification — Automatic withdrawal of Accredited Adviser's accreditation		09/11/2010	
M69A	Application for accreditation of Accredited Futures Adviser		09/11/2010	
M69B	Application for accreditation of Level 1 Accredited Derivatives Adviser		09/11/2010	
M69C	Application for accreditation of Level 2 Accredited Derivatives Adviser		09/11/2010	
M70	Application for re-accreditation of retail client advisers		09/11/2010	
M74	Application for voluntary withdrawal of Accredited Adviser's accreditation		09/11/2010	
RA01	Notification to register, change details of or cease as an agent		30/01/2012	17/01/2011
RA04	Direct debit request		30/01/2012	
RA05	Remittance advice		22/01/2001	
SP03	Summary Prosecutions Enquiry/Record sheet		01/07/1998	

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