



ASIC

Australian Securities & Investments Commission

[CO 02/1073]

**Financial Services Guide — dealing in
underlying investments by responsible
entities**

Issued 9/10/2002

Class Order [CO 02/1073] provides relief to responsible entities of registered managed investment schemes from the requirement to provide a Financial Services Guide (FSG). The entity will not have to provide an FSG merely because the entity deals in financial products which are the underlying investments of the scheme concerned.

Australian Securities and Investments Commission
Corporations Act 2001 – Section 951B – Exemption

Under section 951B of the *Corporations Act 2001* (“the Act”), the Australian Securities and Investments Commission hereby exempts each responsible entity of a registered scheme from section 941A of the Act to the extent that it requires that entity to provide a Financial Services Guide to a member of the registered scheme in relation to any dealing by that entity in any financial products on behalf of the members of the scheme in the course of operation of the scheme.

Dated this 9th day of October 2002

Signed by Brendan Byrne
as a delegate of the Australian Securities and Investments Commission